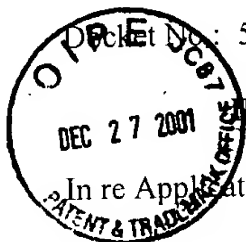


Receipt  
3

PATENT



Patent No.: 50229-267

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Peter Anthony CROOKS, et al.

Serial No.: 09/881,215

Group Art Unit: 1646

Filed: June 15, 2001

Examiner:

For: AGMATINE AND AGMATINE ANALOGS IN THE TREATMENT OF EPILEPSY,  
SEIZURE AND ELECTROCONVULSIVE DISORDERS

**RESPONSE TO NOTICE  
OF MISSING PARTS OF APPLICATION**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice of Missing Parts of Application dated August 27, 2001,  
submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
2. Statutory Basic filing fee of \$355.00
3. Late Filing Fee Surcharge of \$65.00
4. Additional Claims Fee of \$42.00
5. Assignment
6. Information Disclosure Statement
7. Petition for Extension of Time
8. Request for Corrected Filing Receipt

09/881,215

Please charge Deposit Account No. 500417 in the amount of \$462.00. To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

In accordance with 37 CFR 1.48(f)(1), the executed Declaration herewith provides the correct inventorship.

Respectfully submitted,

MCDERMOTT, WILL & EMERY  
*Paul [Signature]*  
*Daniel Bucca 42368 for*

Robert L. Price  
Registration No. 22,685

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 RLP:prp  
**Date: December 27, 2001**  
Facsimile: (202) 756-8087

Docket No.: 50229-267



4  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Peter A. CROOKS et al.

Serial No.: 09/881,215

Filed: June 15, 2001

Group Art Unit: 1646

Examiner:

For: TWO-STAGE DEPOSITION TO FORM POLYSILICON AND SILICIDE GATE  
STRUCTURE

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the Inventors' names are listed incorrectly on the Filing Receipt. Attached is a copy of the Declaration and Power of Attorney, which evidences that the Inventors' names should read: **Peter A. CROOKS, Aimee K. BENCE and David R. WORTHEN**. It is requested that a corrected filing receipt be issued.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

*Daniel Bucca*  
Daniel Bucca 42368

for

Robert L. Price

Registration No. 22,685

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 RLP:prp  
Date: December 27, 2001  
Facsimile: (202) 756-8087



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/881,215	06/15/2001	1646	0.00	50229-267	1	20	4

**RECEIVED**

CONFIRMATION NO. 5136

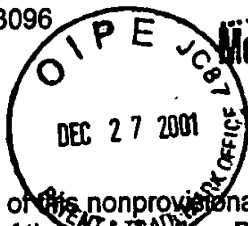
MCDERMOTT, WILL & EMERY  
600 13th Street, N.W.  
Washington, DC 20005-3096

AUG 29 2001

## FILING RECEIPT



\*OC00000006474780\*



McDermott, Will &amp; Emery

Date Mailed: 08/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Peter Anthony Crooks, Residence Not Provided;  
Aimee K. Bence, Residence Not Provided;  
David Robert Worthen, Residence Not Provided;

Peter A. Crooks  
Aimee K. Bence  
David R. Worthen

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/211,532 06/15/2000

## Foreign Applications

If Required, Foreign Filing License Granted 08/25/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Agmatine and agmatine analogs in the treatment of epilepsy, seizure, and electroconvulsive disorders

## Preliminary Class



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/881,215	06/15/2001	Peter Anthony Crooks	50229-267

RECEIVED

AUG 29 2001

MCDERMOTT, WILL & EMERY  
600 13th Street, N.W.  
Washington, DC 20005-3096



CONFIRMATION NO. 5136

FORMALITIES LETTER



\*OC000000006474781\*

Date Mailed: 08/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$40. *#42*
- #42* ■ \$40 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 480. *#462*

A copy of this notice **MUST** be returned with the reply.

*Sady*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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370.00

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450.00